

1 **Case Report**

2 ***Reverse engineering, additive manufacturing (3D printing) as an***  
3 ***adjunctive to tackle supply shortage in non-invasive ventilation***  
4 ***during the COVID-19 pandemic***

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14 Short Title: to be used as running head Additive manufacturing/reverse engineering against  
15 NIV supply shortages during pandemic

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30 Body

31 At the beginning of the SARS-CoV-2 pandemic closed borders and rapidly increasing demands did  
32 not only lead to shortages of ventilators but also affected the supply with consumables for  
33 ventilation including nonvented masks and adaptors for circuits. In home mechanical ventilation or  
34 for sleep apnea treatment usually a broad variety of vented masks is available and local stocks of  
35 those masks are larger. Instead of taping or gluing the holes and altering anti-asphyxia valves in the  
36 vented elbow of the masks we evaluated options to produce equipment locally.

37 Using reverse engineering by 3D scanning and 3D printing with biocompatible material (DIN EN ISO  
38 10993-1) we were able to design and manufacture within three days fully functioning customized  
39 prototypes of a new mask connector to easily transform vented masks into nonvented masks (Fig. 1).  
40 Depending upon the material and printing technology sterilization and multi-use is possible. Pricing  
41 depends on the produced numbers. In addition, we developed a prototype of an air tight sleeve  
42 connector for a leakage valve to ease the set-up of one of the proposed single limb NIV circuit setups  
43 (Fig. 2) of the Swiss Society for Pulmonology (SGP) [2] producing a similar intentional leak as the SGP  
44 set-up.

45 Medical 3-D printing and reverse engineering options are already available in Switzerland and have  
46 the potential to be particularly useful if serious shortages in supply recur. Also, a customizable  
47 nonvented elbow for the available vented masks can facilitate to find an interface with ideal and  
48 comfortable fit for prolonged NIV periods.

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50 **References:**

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52 Personal Protective Equipment during the Covid-19 Pandemic. N Engl J Med. 2020 Mar DOI:  
53 10.1056/nejmp2006141
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59 Fig 1: a) The new designed nonvented elbow mask connector, b) to be used with the usually vented  
60 oropharyngeal mask (AirFit F20, ResMed Ltd, Bella Vista, Australia), and c) the mask with the  
61 nonvented mask connector installed.

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63 Figure 2: a) The newly designed sleeve connector for the leakage valve to ease the set-up of a NIV  
64 circuit b) Recommended NIV set-up of the Swiss Society of Pneumology during the COVID-19  
65 pandemic c) Adapted NIV set-up using the sleeve connector, where the filter is attached laterally and  
66 the exhalation is filtered before exiting.

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68 **Statements**

69 **Disclosure Statement**

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73 **Author Contributions**

74 All authors contributed to this paper (design, draft and gave final approval).

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